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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian T. Dawson Examiner: Scott S. Trotter

Application No.: 09/972,193 Confirmation No.: 3111

Filing Date: October 9, 2001 Art Unit: 3694

For: APPARATUS AND METHODS FOR HANDLING TRADING DATA

Mail Stop Amendment Hon. Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL AMENDMENT

Sir:

Applicant hereby amends the above-identified patent application as follows:

Amendments of the Claims are reflected in the listing of claims that begins on page 2 of this Reply.

Remarks begin on page 16 of this Reply.

Conclusion begins on page 17 of this Reply.

Authorization begins on page 19 of this Reply.